

AN UPPER BOUND FOR THE CARDINALITY  
OF AN  $s$ -DISTANCE SET IN EUCLIDEAN SPACEETSUKO BANNAI, KAZUKI KAWASAKI, YUSUKE NITAMIZU,  
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In this paper we show that if  $X$  is an  $s$ -distance set in  $\mathbb{R}^m$  and  $X$  is on  $p$  concentric spheres then  $|X| \leq \sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i}$ . Moreover if  $X$  is antipodal, then  $|X| \leq 2 \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1}$ .

**1. Introduction**

A subset  $X$  in a metric space  $(M, d)$  is called an  $s$ -distance set if the cardinality of the set  $A = \{d(x, y) \mid x, y \in X, x \neq y\}$  is equal to  $s$ . In [5] P. Delsarte, J. M. Goethals and J. J. Seidel gave the concept of spherical designs and found the following interesting relations between spherical designs and  $s$ -distance sets on spheres. Namely, the cardinality of a spherical  $2s$ -design  $X$  on the sphere  $S^{m-1} (\subset \mathbb{R}^m)$  is bounded below by  $\binom{m+s-1}{s} + \binom{m+s-2}{s-1}$ , and also the cardinality of a spherical  $(2s-1)$ -design  $X$  on the sphere  $S^{m-1}$  is bounded below by  $2\binom{m+s-2}{s-1}$ . On the other hand in the same paper, they showed that the cardinality of an  $s$ -distance set  $X$  on the sphere  $S^{m-1}$  is bounded above by  $\binom{m+s-1}{s} + \binom{m+s-2}{s-1}$  and if  $X$  is antipodal then the cardinality is bounded above by  $2\binom{m+s-2}{s-1}$ . They defined a spherical design is tight if the cardinality coincides with one of the lower bounds given above. They proved that a finite set on the sphere  $S^{m-1}$  of cardinality  $|X| = \binom{m+s-1}{s} + \binom{m+s-2}{s-1}$  is a tight  $2s$ -design if and only if it is an  $s$ -distance set. They also proved that a finite set on the sphere  $S^{m-1}$  of cardinality  $|X| = 2\binom{m+s-2}{s-1}$  is a tight  $(2s-1)$ -design if and only if it is an antipodal  $s$ -distance set.

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The upper bound of the cardinality of an  $s$ -distance set in  $\mathbb{R}^m$  was studied by Bannai-Bannai-Stanton [2] and Blokhuis [3] independently. They showed that the cardinality of an  $s$ -distance set in  $\mathbb{R}^m$  is bounded above by  $\binom{m+s}{s}$ . The concept of Euclidean designs is defined in the paper by P. Delsarte and J. J. Seidel [4]. Delsarte and Seidel proved that the cardinality of a Euclidean  $2s$ -design on  $p$  concentric spheres in  $\mathbb{R}^m$  is bounded below by  $\sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i}$  and the cardinality of an antipodal Euclidean  $(2s-1)$ -design on  $p$  concentric spheres in  $\mathbb{R}^m$  is bounded below by  $2 \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1}$ .

In this paper we prove the following theorem which improves the upper bound for an  $s$ -distance set in  $\mathbb{R}^m$ .

**Theorem 1.1.**

(1) Let  $X$  be an  $s$ -distance set on  $p$  concentric spheres in  $\mathbb{R}^m$ . Then

$$|X| \leq \sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i}.$$

(2) Let  $X$  be an antipodal  $s$ -distance set on  $p$  concentric spheres in  $\mathbb{R}^m$ . Then

$$|X| \leq 2 \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1}.$$

**Remark.** If  $p = 1$ , then  $\sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i} = \binom{m+s-1}{s} + \binom{m+s-2}{s-1}$  and  $2 \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1} = 2 \binom{m+s-2}{s-1}$  hold and the bounds coincide with the bounds given by Delsarte, Goethals and Seidel for the spherical case. If  $s \leq 2p-1$ , then  $\sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i} = \sum_{i=0}^s \binom{m+s-i-1}{s-i} = \binom{m+s}{s}$ . This means that Theorem 1.1 is true for  $s \leq 2p-1$ . Hence if  $s=2$  or  $3$ , and  $p \geq 2$ , then the upper bound given in Theorem 1.1, (1) coincides with the known one,  $\binom{m+s}{s}$ . If  $s \geq 2p$ , then  $\sum_{i=0}^{2p-1} \binom{m+s-i-1}{s-i} < \binom{m+s}{s}$  and Theorem 1.1, (1) gives a better upper bound.

As for the subsets in  $\mathbb{R}^m$  there is an example of a 2-distance set in  $\mathbb{R}^8$  whose cardinality is  $\binom{8+2}{2}$ . This example was found by Lisoněk [7] and it is on 2 concentric spheres. However it is not a tight 4-design as a Euclidean design even though its cardinality coincides with the upper bound.

It is still unknown whether any tight  $2s$ -design gives an  $s$ -distance set or not. This problem seems very important and interesting.

For more information on this subject, see [1] and [4].

In §2 we give basic facts about the vector space of the polynomials on a finite number of concentric spheres in  $\mathbb{R}^m$ . In §3 we give a proof of Theorem 1.1.

## 2. Polynomials on $p$ concentric spheres in $\mathbb{R}^m$

First we give notation which will be used in the following and then give basic facts about polynomials on a finite number of concentric spheres (see [4]). Let  $S_1, S_2, \dots, S_p$  be spheres in  $\mathbb{R}^m$  centered at the origin of  $\mathbb{R}^m$  with radii  $r_1, r_2, \dots, r_p$  respectively. Let  $S = S_1 \cup S_2 \cup \dots \cup S_p$ . Let  $P(\mathbb{R}^m)$  be the set of all the polynomials of  $m$  variables  $x_1, x_2, \dots, x_m$ . Let  $\text{Hom}_l(\mathbb{R}^m)$  be the set of all the homogeneous polynomials of degree  $l$ . We denote the Laplacian  $\frac{\partial^2}{\partial x_1^2} + \frac{\partial^2}{\partial x_2^2} + \dots + \frac{\partial^2}{\partial x_m^2}$  by  $\Delta$ . Let  $\text{Harm}_l(\mathbb{R}^m)$  be the set of all the harmonic homogeneous polynomials of degree  $l$ , i.e.,  $\text{Harm}_l(\mathbb{R}^m) = \{f \in \text{Hom}_l(\mathbb{R}^m) \mid \Delta f = 0\}$ . Let  $P(S) = \{f|_S \mid f \in P(\mathbb{R}^m)\}$ ,  $\text{Hom}_l(S) = \{f|_S \mid f \in \text{Hom}_l(\mathbb{R}^m)\}$ ,  $\text{Harm}_l(S) = \{f|_S \mid f \in \text{Harm}_l(\mathbb{R}^m)\}$ . For  $x = (x_1, x_2, \dots, x_m)$  and  $y = (y_1, y_2, \dots, y_m)$  in  $\mathbb{R}^m$ , the inner product of  $x$  and  $y$  is denoted by  $\langle x, y \rangle = \sum_{i=1}^m x_i y_i$ . Let  $\|x\|^2 = \langle x, x \rangle = \sum_{i=1}^m x_i^2$ .

The following propositions are known.

**Proposition 2.1.** (See [6])

- (i)  $\text{Hom}_l(\mathbb{R}^m) = \text{Harm}_l(\mathbb{R}^m) \oplus \|x\|^2 \text{Hom}_{l-2}(\mathbb{R}^m)$
- (ii)  $\dim(\text{Hom}_l(\mathbb{R}^m)) = \binom{m+l-1}{l} = \binom{m+l-1}{m-1}$
- (iii)  $\dim(\text{Harm}_l(\mathbb{R}^m)) = \binom{m+l-1}{l} - \binom{m+l-3}{l-2} = \binom{m+l-1}{m-1} - \binom{m+l-3}{m-1}$

**Proposition 2.2.** (See [4]) Let  $\rho : P(\mathbb{R}^m) \longrightarrow P(S)$  be the linear map defined by  $\rho(f) = f|_S$  for any  $f \in P(\mathbb{R}^m)$ . Then the following hold.

- (i) The kernel of  $\rho$  is the ideal generated by  $\prod_{i=1}^p (\|x\|^2 - r_i^2)$ .
- (ii)  $\text{Hom}_i(S) \cong \text{Hom}_i(\mathbb{R}^m)$ , for each non-negative integer  $i$ .
- (iii)  $\sum_{i=0}^l \text{Hom}_i(S) = \bigoplus_{i=0}^{2p-1} \text{Hom}_{l-i}(S) \cong \bigoplus_{i=0}^{2p-1} \text{Hom}_{l-i}(\mathbb{R}^m)$ .
- (iv)  $\dim\left(\sum_{i=0}^l \text{Hom}_i(S)\right) = \sum_{i=0}^{2p-1} \binom{m+l-i-1}{l-i}$ .

We define some more notations which we will use in this paper. For a vector  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_m)$  whose entries are non-negative integers, we define  $|\lambda| = \sum_{i=1}^m \lambda_i$ . For any  $x = (x_1, x_2, \dots, x_m) \in \mathbb{R}^m$ , we write  $x^\lambda = x_1^{\lambda_1} x_2^{\lambda_2} \dots x_m^{\lambda_m}$ . The next proposition is very elementary but useful.

**Proposition 2.3.** Let  $u = (u_1, u_2, \dots, u_m) \in \mathbb{R}^m$  be a vector. Then the coefficient of the monomial  $x^\lambda$  in  $\|x\|^{2i} \langle x, u \rangle^{l-2i}$  is equal to

$$\frac{1}{(\lambda_1)!(\lambda_2)! \dots (\lambda_m)!} (l-2i)! \Delta^i(x^\lambda)|_{x=u},$$

where  $\Delta^i(x^\lambda)|_{x=u}$  means that take  $i$  times the Laplacian of  $x^\lambda$  and substitute  $x = u$ .

The following lemma, which may be well known, is useful. We use some modifications of this Lemma in our proof of [Theorem 1.1](#).

**Lemma 2.4.** *Let  $u = (u_1, u_2, \dots, u_m)$  be a vector in  $\mathbb{R}^m$ . Assume that there exist real numbers  $c_1, c_2, \dots, c_{[\frac{l}{2}]}$  satisfying the following equation*

$$\langle x, u \rangle^l = \sum_{i=1}^{[\frac{l}{2}]} c_i \|x\|^{2i} \langle x, u \rangle^{l-2i}$$

for any  $x = (x_1, x_2, \dots, x_m) \in \mathbb{R}^m$ . Then  $\varphi(u) = 0$  for any  $\varphi \in \text{Harm}_l(\mathbb{R}^m)$

**Proof.** The coefficient of the monomial  $x_1^{\lambda_1} x_2^{\lambda_2} \dots x_m^{\lambda_m}$  in  $\langle x, u \rangle^l$  is equal to

$$\frac{l!}{(\lambda_1)!(\lambda_2)! \dots (\lambda_m)!} u_1^{\lambda_1} u_2^{\lambda_2} \dots u_m^{\lambda_m},$$

for any non-negative integers  $\lambda_1, \lambda_2, \dots, \lambda_m$  satisfying  $\lambda_1 + \lambda_2 + \dots + \lambda_m = l$ . Hence [Proposition 2.3](#) implies the following equation

$$u_1^{\lambda_1} u_2^{\lambda_2} \dots u_m^{\lambda_m} = \frac{1}{l!} \sum_{i=1}^{[\frac{l}{2}]} c_i (l-2i)! \Delta^i (x_1^{\lambda_1} x_2^{\lambda_2} \dots x_m^{\lambda_m})|_{x=u}.$$

Since  $\Delta^i$  is a linear operator we have

$$f(u) = \frac{1}{l!} \sum_{i=1}^{[\frac{l}{2}]} c_i (l-2i)! (\Delta^i f)(u),$$

for any homogeneous polynomial  $f \in \text{Hom}_l(\mathbb{R}^m)$ . In particular if  $\varphi$  is a harmonic polynomial in  $\text{Harm}_l(\mathbb{R}^m)$  we have  $\varphi(u) = 0$ . ■

### 3. Proof of [Theorem 1.1](#)

First we prove [Theorem 1.1](#), (1). Let  $X$  be an  $s$ -distance set in  $\mathbb{R}^m$ . Let  $A = A(X) = \{d(u, v) \mid u, v \in X, u \neq v\}$ , where  $d(u, v) = \sqrt{\langle u - v, u - v \rangle} = \|u - v\|$ . Then by the assumption on  $X$  we have  $|A| = s$ . Let  $A = \{\alpha_1, \alpha_2, \dots, \alpha_s\}$ . For each  $u \in X$ , we define a polynomial  $F_u \in P(\mathbb{R}^m)$  by

$$F_u(x) = \prod_{i=1}^s (\|x - u\|^2 - \alpha_i^2).$$

Then we have

$$(3.1) \quad F_u(v) = \delta_{u,v}(-1)^s \prod_{i=1}^m \alpha_i^2$$

for any  $u, v \in X$ . By (3.1) the set of polynomials  $\mathcal{F}_X = \{F_u \mid u \in X\}$  is linearly independent in  $P(\mathbb{R}^m)$ . For each  $u \in X$ , the polynomial  $F_u$  is a polynomial of highest degree  $2s$ , that is,  $F_u \in \sum_{i=0}^{2s} \text{Hom}_i(\mathbb{R}^m)$ . Since  $\mathcal{F}_X$  is a set of linearly independent polynomials in a finite dimensional vector space  $\sum_{i=0}^{2s} \text{Hom}_i(\mathbb{R}^m)$ ,  $X$  has to be a finite set. Let  $R = R_X = \{\|u\| \mid u \in X\}$ . Then  $R$  consists of a finite number of real numbers. Without loss of generality, we may assume that  $0 \notin R$ . Let  $|R| = p$  and  $R = \{r_1, r_2, \dots, r_p\}$ . For each  $i$  with  $1 \leq i \leq p$ , let  $S_i$  be the sphere in  $\mathbb{R}^m$  with center at the origin with radius  $r_i$ . Let  $S = S_1 \cup S_2 \cup \dots \cup S_p$ . Then (3.1) also implies that  $\mathcal{F}_X$  is linearly independent as polynomials in  $P(S)$ . Let  $\mathcal{F}_X(S) = \{F_u|_S \mid u \in X\}$ . Then  $|X| = \dim(\langle \mathcal{F}_X(S) \rangle)$ . In the following we look for the upper bounds for  $\dim(\langle \mathcal{F}_X(S) \rangle)$ . As mentioned in the Remark right after Theorem 1.1, if  $s \leq 2p-1$  then Theorem 1.1 is true. From now on we assume  $s \geq 2p$ .

**Lemma 3.1.**

$$\begin{aligned} \text{(i)} \quad & \langle \mathcal{F}_X(S) \rangle \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \|x\|^{2i} \text{Hom}_{s-i}(S). \\ \text{(ii)} \quad & \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \|x\|^{2i} \text{Hom}_{s-i}(S) = \\ & \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \sum_{j=0}^{p-1-i} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) \end{aligned}$$

**Proof.** We have the following expression for the polynomial  $F_u$ :

$$F_u(x) = \sum_{i=0}^s \beta_{s-i}^{(u)} (\|x\|^2 - 2\langle x, u \rangle)^i,$$

where  $\beta_i^{(u)}, 0 \leq i \leq s$  is the elementary symmetric polynomial of  $\{\|u\|^2 - \alpha_1^2, \dots, \|u\|^2 - \alpha_s^2\}$  of degree  $i$ . In particular  $\beta_0^{(u)} = 1$ . Therefore,

$$\mathcal{F}_X \subset \langle \{\|x\|^{2i} \langle x, u \rangle^j \mid i+j \leq s\} \rangle \subseteq \sum_{\substack{i+j \leq s \\ 0 \leq i, j}} \|x\|^{2i} \text{Hom}_j(\mathbb{R}^m).$$

If  $i \neq 0$ ,  $i+j < s$ , and  $2i+j \geq s+1$ , then we have  $i > 2i+j-s \geq 1$  and  $s-i-j > 0$ . Therefore we have

$$\begin{aligned} & \|x\|^{2i} \text{Hom}_j(\mathbb{R}^m) = \\ & \|x\|^{2(2i+j-s)} \|x\|^{2(s-i-j)} \text{Hom}_j(\mathbb{R}^m) \subset \|x\|^{2(2i+j-s)} \text{Hom}_{s-(2i+j-s)}(\mathbb{R}^m). \end{aligned}$$

Hence we have

$$\langle \mathcal{F}_X \rangle \subset \bigoplus_{i=0}^s \text{Hom}_i(\mathbb{R}^m) + \sum_{i=0}^s \|x\|^{2i} \text{Hom}_{s-i}(\mathbb{R}^m).$$

Hence by [Proposition 2.2](#), we have

$$(3.2) \quad \langle \mathcal{F}_X(S) \rangle \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=0}^s \|x\|^{2i} \text{Hom}_{s-i}(S).$$

Next, we will show that

$$(3.3) \quad \|x\|^{2j} \text{Hom}_{s-j}(S) \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=0}^{j-1} \|x\|^{2i} \text{Hom}_{s-i}(S),$$

for any  $j \geq p$ . By [Proposition 2.2](#),  $\prod_{l=1}^p (\|x\|^2 - r_l^2)$  generates the kernel of the linear map  $\rho$  defined by the restriction of the polynomials on  $\mathbb{R}^m$  to  $S$ . Hence, as a polynomial on  $S$ ,  $\|x\|^{2p}$  is a linear combination of  $\|x\|^{2i}$ ,  $i=0, 1, 2, \dots, p-1$ . Therefore we have

$$(3.4) \quad \|x\|^{2p} \text{Hom}_l(S) \subset \sum_{i=0}^{p-1} \|x\|^{2i} \text{Hom}_l(S).$$

for any integer  $l \geq 0$ . Now we assume  $j \geq p$ . Then by (3.4) we have

$$\begin{aligned} \|x\|^{2j} \text{Hom}_{s-j}(S) &= \|x\|^{2p} \|x\|^{2(j-p)} \text{Hom}_{s-j}(S) \subset \\ &\sum_{i=0}^{p-1} \|x\|^{2i} \|x\|^{2(j-p)} \text{Hom}_{s-j}(S) = \sum_{k=1}^p \|x\|^{2(j-k)} \text{Hom}_{s-j}(S). \end{aligned}$$

If  $j \leq 2k$ , then

$$(3.5) \quad \|x\|^{2(j-k)} \text{Hom}_{s-j}(S) \subset \text{Hom}_{s-(2k-j)}(S) \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S).$$

If  $j \geq 2k+1$ , then

$$(3.6) \quad \begin{aligned} \|x\|^{2(j-k)} \text{Hom}_{s-j}(S) &= \|x\|^{2(j-2k)} \|x\|^{2k} \text{Hom}_{s-j}(S) \subset \\ &\|x\|^{2(j-2k)} \text{Hom}_{s-(j-2k)}(S) \subset \sum_{i=1}^{j-1} \|x\|^{2i} \text{Hom}_{s-i}(S) \end{aligned}$$

because  $k \geq 1$ . (3.5) and (3.6) imply (3.3). Induction on  $j$  using (3.3) implies

$$(3.7) \quad \sum_{i=0}^s \|x\|^{2i} \text{Hom}_{s-i}(S) \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \|x\|^{2i} \text{Hom}_{s-i}(S).$$

Equations (3.2) and (3.7) imply Lemma 3.1, (i).

Next we prove Lemma 3.1, (ii). It is enough to show that

$$\begin{aligned} & \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \|x\|^{2i} \text{Hom}_{s-i}(S) \subseteq \\ & \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \sum_{j=0}^{p-1-i} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S). \end{aligned}$$

Proposition 2.1, (i) implies

$$(3.8) \quad \begin{aligned} & \|x\|^{2i} \text{Hom}_{s-i}(S) \\ &= \sum_{j=0}^{p-i-1} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) + \|x\|^{2p} \text{Hom}_{s+i-2p}(S), \end{aligned}$$

for any  $i$  with  $1 \leq i \leq p-1$  (we note that  $s \geq 2p$ ). Then by (3.4) we have

$$(3.9) \quad \|x\|^{2p} \text{Hom}_{s+i-2p}(S) \subset \sum_{l=0}^{p-1} \|x\|^{2l} \text{Hom}_{s+i-2p}(S).$$

(3.9) and (3.9) imply

$$(3.10) \quad \begin{aligned} & \|x\|^{2i} \text{Hom}_{s-i}(S) \subset \\ & \sum_{j=0}^{p-i-1} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) + \sum_{l=0}^{p-1} \|x\|^{2l} \text{Hom}_{s+i-2p}(S), \end{aligned}$$

for any  $i$  with  $1 \leq i \leq p-1$ . Next we will show

$$(3.11) \quad \|x\|^{2l} \text{Hom}_{s+i-2p}(S) \subset \bigoplus_{k=0}^{2p-1} \text{Hom}_{s-k}(S) + \sum_{k=1}^{i-1} \|x\|^{2k} \text{Hom}_{s-k}(S)$$

for any  $i, l$  with  $1 \leq i \leq p-1$  and  $0 \leq l \leq p-1$ .

If  $i+2l \leq 2p$ , then

$$(3.12) \quad \|x\|^{2l} \text{Hom}_{s+i-2p}(S) \subset \text{Hom}_{s-(2p-2l-i)}(S) \subset \bigoplus_{k=0}^{2p-1} \text{Hom}_{s-k}(S).$$

If  $i + 2l \geq 2p + 1$ , then  $i + 2l - 2p \geq 1$ . On the other hand  $2p - i - l \geq 2$ . Hence we have

$$(3.13) \quad \begin{aligned} \|x\|^{2l} \text{Hom}_{s+i-2p}(S) &= \|x\|^{2(i+2l-2p)} \|x\|^{2(2p-i-l)} \text{Hom}_{s+i-2p}(S) \\ &\subset \|x\|^{2(i+2l-2p)} \text{Hom}_{s-(i+2l-2p)}(S) \subset \sum_{k=1}^{i-1} \|x\|^{2k} \text{Hom}_{s-k}(S), \end{aligned}$$

because  $l-p < 0$ . Then (3.12) and (3.13) imply (3.11). Then (3.11) and (3.11) imply

$$(3.14) \quad \begin{aligned} \|x\|^{2i} \text{Hom}_{s-i}(S) &\subset \bigoplus_{k=0}^{2p-1} \text{Hom}_{s-k}(S) + \sum_{j=0}^{p-1-i} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) \\ &\quad + \sum_{k=1}^{i-1} \|x\|^{2k} \text{Hom}_{s-k}(S). \end{aligned}$$

Then induction on  $i$  using (3.14) implies Lemma 3.1, (ii). ■

Now we will start to prove Theorem 1.1, (1). Our proof will be divided into two cases.

**Case 1:** We assume that  $\langle \mathcal{F}_X(S) \rangle \cap \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(S) = \{0\}$  holds.

Then Proposition 2.2 and Lemma 3.1 imply

$$\begin{aligned} \langle \mathcal{F}_X(S) \rangle \oplus \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(S) &\subset \\ \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) &+ \sum_{i=1}^{p-1} \sum_{j=0}^{p-1-i} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S). \end{aligned}$$

Hence by Proposition 2.1 and Proposition 2.2 we have

$$\begin{aligned} |X| &= \dim(\mathcal{F}_X(S)) \\ &\leq \sum_{i=p}^{2p-1} \dim(\text{Hom}_{s-i}(\mathbb{R}^m)) + \sum_{i=1}^{p-1} \sum_{j=0}^{p-1-i} \dim(\text{Harm}_{s-i-2j}(\mathbb{R}^m)) \\ &= \sum_{i=p}^{2p-1} \binom{m+s-i-1}{m-1} + \sum_{i=1}^{p-1} \binom{m+s-i-1}{m-1} - \sum_{i=p+1}^{2p-1} \binom{m+s-i-1}{m-1} \\ &= \sum_{i=1}^p \binom{m+s-i-1}{m-1} < \sum_{i=0}^{2p-1} \binom{m+s-i-1}{m-1}. \end{aligned}$$

Hence in this case we have Theorem 1.1, (1).



**Case 2:** We assume that  $\langle \mathcal{F}_X(S) \rangle \cap \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(S) \supsetneq \{0\}$  holds. In this case our goal is to prove the following Lemma.

**Lemma 3.2.** *If*

$$\langle \mathcal{F}_X(S) \rangle \cap \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(S) \supsetneq \{0\}$$

*holds, then there exists a subspace  $W \subset \sum_{i=1}^{p-1} \text{Hom}_{s-i}(S)$  satisfying the following conditions:*

- (i)  $\langle \mathcal{F}_X(S) \rangle \cap W = \{0\}$ ,
- (ii)  $\dim W = \dim \left( \sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) \right)$ .

If we prove Lemma 3.2 then Theorem 1.1, (1) is obtained as follows. Proposition 2.2, Lemma 3.1 and Lemma 3.2 imply

$$\langle \mathcal{F}_X(S) \rangle \oplus W \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) + \sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S).$$

Then we have

$$\begin{aligned} \dim(\langle \mathcal{F}_X(S) \rangle) + \dim W &= \dim(\langle \mathcal{F}_X(S) \rangle + W) \\ &\leq \dim \left( \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) \right) + \dim \left( \sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(S) \right) \\ &= \dim \left( \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) \right) + \dim W. \end{aligned}$$

Hence we have

$$|X| = \dim(\langle \mathcal{F}_X(S) \rangle) \leq \dim \left( \bigoplus_{i=0}^{2p-1} \text{Hom}_{s-i}(S) \right).$$

Then Proposition 2.2 implies Theorem 1.1, (1).

In the following, we construct a subspace  $W$  which satisfies the conditions in Lemma 3.2.

By the assumption there exist a nonzero polynomial  $g(x) \in \langle \mathcal{F}_X(S) \rangle \cap \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(S)$ . Then we can assume  $g(x) \in \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(\mathbb{R}^m)$  and

$$(3.15) \quad \sum_{u \in X} a_u F_u(x) = g(x) + f(x) \prod_{i=1}^p (\|x\|^2 - r_i^2)$$

for any  $x \in \mathbb{R}^m$  with some real numbers  $a_u$ ,  $u \in X$  and a polynomial  $f(x) \in P(\mathbb{R}^m)$  whose leading term is of degree  $2(s-p)$ . Let  $f(x) = \sum_{i=0}^{2(s-p)} \sum_{|\lambda|=i} b_\lambda x^\lambda$ .

Let us express

$$\sum_{u \in X} a_u F_u(x) = \sum_{u \in X} a_u \sum_{i=0}^s \beta_{s-i}^{(u)} (\|x\|^2 - 2\langle x, u \rangle)^i,$$

where  $\beta_i^{(u)}$  is the elementary symmetric polynomial of degree  $i$  for  $\|u\|^2 - \alpha_1^2, \|u\|^2 - \alpha_2^2, \dots, \|u\|^2 - \alpha_s^2$ . In particular  $\beta_0^{(u)} = 1$ . We also express

$$\prod_{j=1}^p (\|x\|^2 - r_j^2) = \sum_{j=0}^p \delta_{p-j} \|x\|^{2j},$$

where  $\delta_i$  is the elementary symmetric polynomial of degree  $i$  for  $-r_1^2, -r_2^2, \dots, -r_p^2$ . In particular  $\delta_0 = 1$ . With the notation given above we have

$$\sum_{u \in X} a_u F_u(x) = \sum_{u \in X} a_u \sum_{l=0}^{2s} \sum_{j=\max\{0, l-s\}}^{\lfloor \frac{l}{2} \rfloor} (-2)^{l-2j} \binom{l-j}{j} \beta_{j-l+s}^{(u)} \|x\|^{2j} \langle x, u \rangle^{l-2j}$$

(3.16)

and

$$f(x) \prod_{j=1}^p (\|x\|^2 - r_j^2) = \left( \sum_{i=0}^{2s-2p} \sum_{|\lambda|=i} b_\lambda x^\lambda \right) \left( \sum_{j=0}^p \delta_{p-j} \|x\|^{2j} \right)$$

$$(3.17) \quad = \sum_{l=0}^{2s} \sum_{\substack{0 \leq j \leq p \\ l-2(s-p) \leq 2j \leq l}} \sum_{|\lambda|=l-2j} \delta_{p-j} b_\lambda \|x\|^{2j} x^\lambda$$

Since  $g(x) \in \bigoplus_{i=1}^{p-1} \text{Hom}_{s-i}(\mathbb{R}^m)$  we can prove the following (using equations (3.15), (3.16) and (3.17)):

$$\begin{aligned}
 & \sum_{j=0}^{\min\{p, \lfloor \frac{l}{2} \rfloor\}} \delta_{p-j} \|x\|^{2j} \sum_{|\lambda|=l-2j} b_{\lambda} x^{\lambda} \\
 &= (-2)^l \sum_{j=0}^{\lfloor \frac{l}{2} \rfloor} 2^{-2j} \binom{l-j}{j} \sum_{u \in X} a_u \beta_{s-l+j}^{(u)} \|x\|^{2j} \langle x, u \rangle^{l-2j}, \\
 (3.18) \quad & 0 \leq l \leq s-p,
 \end{aligned}$$

$$\begin{aligned}
 & \sum_{0 \leq j \leq \min\{p, \frac{2s-l}{2}\}} \delta_j \|x\|^{2(p-j)} \sum_{|\lambda|=l-2p+2j} b_{\lambda} x^{\lambda} \\
 &= (-2)^{2s-l} \|x\|^{2(l-s)} \sum_{j=0}^{\lfloor \frac{2s-l}{2} \rfloor} 2^{-2j} \binom{s-j}{j+l-s} \sum_{u \in X} a_u \beta_j^{(u)} \|x\|^{2j} \langle x, u \rangle^{2s-l-2j}, \\
 (3.19) \quad & s \leq l \leq 2s.
 \end{aligned}$$

Let  $l = s + i$ ,  $0 \leq i \leq s$ , in (3.19). Then we have

$$\begin{aligned}
 & \sum_{0 \leq j \leq \min\{p, \frac{s-i}{2}\}} \delta_j \|x\|^{2(p-j)} \sum_{|\lambda|=s-2p+i+2j} b_{\lambda} x^{\lambda} \\
 (3.20) \quad &= (-2)^{s-i} \|x\|^{2i} \sum_{j=0}^{\lfloor \frac{s-i}{2} \rfloor} 2^{-2j} \binom{s-j}{i+j} \sum_{u \in X} a_u \beta_j^{(u)} \|x\|^{2j} \langle x, u \rangle^{s-i-2j}.
 \end{aligned}$$

We have the following proposition.

**Proposition 3.3.** *The assumption and notation are as given before. Then the following conditions hold:*

- (i) *For any  $i$  with  $0 \leq i \leq s-p$  the polynomial  $\sum_{|\lambda|=i} b_{\lambda} x^{\lambda}$  is a linear combination of the polynomials  $\|x\|^{2j} \langle x, u \rangle^{i-2j}$ ,  $0 \leq j \leq \lfloor \frac{i}{2} \rfloor$ ,  $u \in X$ .*
- (ii) *For any  $s-p \leq i \leq 2(s-p)$*

$$\sum_{|\lambda|=i} b_{\lambda} x^{\lambda} = \|x\|^{2(i-(s-p))} \sum_{u \in X} a_u B_{u, 2(s-p)-i}(x),$$

where  $B_{u,k}(x)$  is defined for  $k=0, \dots, s-p$  and is a homogeneous polynomial of degree  $k$  having the following expression:

$$B_{u,k}(x) = \sum_{0 \leq j \leq [\frac{k}{2}]} C_{k,j}(|u|^2) \|x\|^{2j} \langle x, u \rangle^{k-2j},$$

where  $C_{k,j}(|u|^2)$  is a linear combination of  $\beta_l^{(u)}$ ,  $0 \leq l \leq j$  whose coefficients only depend on  $s, p, k, l, j$  and the radii  $r_1, \dots, r_p$  of the given concentric spheres. In particular  $C_{k,0}(|u|^2) = (-2)^k \binom{s}{k}$ , which depends only on  $s$  and  $k$ .

**Proof.** (i) We use (3.18). Put  $l=0$  in (3.18), then we have  $\sum_{|\lambda|=0} b_\lambda x^\lambda = \delta_p^{-1} \sum_{u \in X} a_u \beta_s^{(u)}$ . Hence (i) is true for  $i=0$ . We can prove (i) by induction on  $i$  using (3.18) with  $l=i$ .

(ii) We use (3.19). Put  $l=2s$  in (3.19). Then we have

$$\sum_{|\lambda|=2(s-p)} b_\lambda x^\lambda = \|x\|^{2(s-p)} \sum_{u \in X} a_u.$$

Hence  $B_{u,0}(x) \equiv 1$ . Put  $l=2s-1$  in (3.19). Then we have

$$\sum_{|\lambda|=2(s-p)-1} b_\lambda x^\lambda = -2\|x\|^{2(s-p-1)} \binom{s}{s-1} \sum_{u \in X} a_u \langle x, u \rangle.$$

Hence  $B_{u,1}(x) = -2 \binom{s}{s-1} \langle x, u \rangle$ . For any  $k$  with  $0 \leq k \leq s-p$ , put  $l=2s-k$  in (3.19), then we have

$$\begin{aligned} \|x\|^{2p} \sum_{|\lambda|=2(s-p)-k} b_\lambda x^\lambda &= \\ &= (-2)^k \|x\|^{2(s-k)} \sum_{j=0}^{[\frac{k}{2}]} 2^{-2j} \binom{s-j}{j+s-k} \sum_{u \in X} a_u \beta_j^{(u)} \|x\|^{2j} \langle x, u \rangle^{k-2j} \\ &\quad - \sum_{j=1}^{\min\{p, [\frac{k}{2}]\}} \delta_j \|x\|^{2(p-j)} \sum_{|\lambda|=2(s-p)-k+2j} b_\lambda x^\lambda. \end{aligned}$$

Then we can prove (ii) by induction on  $k=2(s-p)-i$ . ■

For each  $k$  with  $0 \leq k \leq s-p-1$ , let us define a polynomial  $B_k(x)$  of degree  $k$  by

$$B_k(x) = \sum_{|\lambda|=k} b_\lambda x^\lambda.$$

We express (3.20) with  $0 \leq i \leq p-1$  using  $B_k(x)$  and  $B_{u,k}(x)$ . Then we get

$$\begin{aligned}
 & \sum_{j=0}^{\lfloor \frac{p-i-1}{2} \rfloor} \delta_j \|x\|^{2(p-j)} B_{s-2p+2j+i}(x) \\
 & \quad + \sum_{j=\lfloor \frac{p-i+1}{2} \rfloor}^{\min\{p, \lfloor \frac{s-i}{2} \rfloor\}} \delta_j \|x\|^{2(i+j)} \sum_{u \in X} a_u B_{u,s-i-2j}(x) = \\
 (3.21) \quad & (-2)^{s-i} \|x\|^{2i} \sum_{j=0}^{\lfloor \frac{s-i}{2} \rfloor} 2^{-2j} \binom{s-j}{i+j} \sum_{u \in X} a_u \beta_j^{(u)} \|x\|^{2j} \langle x, u \rangle^{s-i-2j},
 \end{aligned}$$

for  $0 \leq i \leq p-1$ . Then by Proposition 3.3 we have

$$\begin{aligned}
 & \sum_{j=0}^{\lfloor \frac{p-i-1}{2} \rfloor} \delta_j \|x\|^{2(p-i-j)} B_{s-2p+2j+i}(x) = \\
 & (-2)^{s-i} \sum_{j=0}^{\lfloor \frac{s-i}{2} \rfloor} 2^{-2j} \binom{s-j}{i+j} \sum_{u \in X} a_u \beta_j^{(u)} \|x\|^{2j} \langle x, u \rangle^{s-i-2j} \\
 (3.22) \quad & - \sum_{j=\lfloor \frac{p-i+1}{2} \rfloor}^{\min\{p, \lfloor \frac{s-i}{2} \rfloor\}} \delta_j \|x\|^{2j} \sum_{u \in X} a_u \sum_{k=0}^{\lfloor \frac{s-i-2j}{2} \rfloor} C_{s-i-2j,k}(\|u\|^2) \|x\|^{2k} \langle x, u \rangle^{s-i-2j-2k}.
 \end{aligned}$$

Hence for any  $i$  with  $1 \leq i \leq p-1$  the coefficient of the term  $\|x\|^{2j} \langle x, u \rangle^{s-i-2j}$  in the right hand side of the equation (3.22) is given by

$$(-2)^{s-i-2j} \binom{s-j}{i+j} a_u \beta_j^{(u)} + \text{a linear combination of } \{\beta_l^{(u)}, 0 \leq l \leq j-1\}.$$

Then we can express the right hand side of the equation (3.22) in the following way.

$$\begin{aligned}
 & \sum_{j=0}^{\lfloor \frac{2p-i-3}{2} \rfloor} \sum_{u \in X} a_u g_{i,j}(\|u\|^2) \|x\|^{2j} \langle x, u \rangle^{s-i-2j} \\
 & \quad + \text{a linear combination of the terms } \|x\|^{2j} \langle x, u \rangle^{s-i-2j} \\
 (3.23) \quad & \text{with } j \geq \left\lceil \frac{2p-i-1}{2} \right\rceil,
 \end{aligned}$$

where  $g_{i,j}(\|u\|^2)$  is a linear combination of  $\beta_l^{(u)}$ ,  $0 \leq l \leq j$ . More precisely

$$g_{i,j}(\|u\|^2) = (-2)^{s-i-2j} \binom{s-j}{i+j} \beta_j^{(u)} \\ + \text{a linear combination of } \{\beta_l^{(u)}, 0 \leq l \leq j-1\}.$$

By definition,  $\beta_l^{(u)}$  is the elementary symmetric polynomial of  $\{\|u\|^2 - \alpha_1^2, \dots, \|u\|^2 - \alpha_s^2\}$  of degree  $l$ . Hence  $g_{i,j}(t)$  is a polynomial in one variable  $t$  of degree  $j$  with the following form:

$$g_{i,j}(t) = (-2)^{s-i-2j} \binom{s-j}{i+j} t^j + \text{terms with } t^l, l \leq j-1.$$

Note that the polynomial  $g_{i,j}(t)$  of degree  $j$  defined above depends only on  $\alpha_1, \dots, \alpha_s$  and  $r_1, \dots, r_p$  and  $i, j$ .

Let  $U_{s-i}^{(\leq k)}$  be a subspace of  $\text{Hom}_{s-i}(\mathbb{R}^m)$  defined by

$$U_{s-i}^{(\leq k)} = \left\langle \|x\|^{2j} \langle x, u \rangle^{s-i-2j} \mid 0 \leq s-i-2j \leq k \right\rangle.$$

Let us define a polynomial in  $\text{Hom}_{s-i}(\mathbb{R}^m)$  by

$$\Phi_{s-i}(x) = \sum_{l=0}^{\lfloor \frac{2p-i-3}{2} \rfloor} \sum_{u \in X} a_u g_{i,l}(\|u\|^2) \|x\|^{2l} \langle x, u \rangle^{s-i-2l}, \quad 0 \leq i \leq p.$$

Then (3.22) and (3.23) imply

$$(3.24) \quad \Phi_{s-i}(x) - \sum_{l=0}^{\lfloor \frac{p-i-1}{2} \rfloor} \delta_l \|x\|^{2(p-i-l)} B_{s-2p+2l+i}(x) \in U_{s-i}^{(\leq s-2p+2)}.$$

We have the following lemma.

**Lemma 3.4.** *Assumption and notation are as given before. For each  $i$  and  $j$  with  $1 \leq i \leq p-1$ ,  $0 \leq j \leq p-i-1$  there exists a polynomial  $G_{i,j}(t)$  of degree  $j$  in one variable  $t$  satisfying the following condition*

$$\sum_{u \in X} a_u G_{i,j}(\|u\|^2) \langle x, u \rangle^{s-i-2j} \in U_{s-i-2j}^{(\leq s-i-2j-2)}.$$

Moreover the polynomial  $G_{i,j}(t)$  does not depend on the choice of the polynomial  $g(x) \in \langle \mathcal{F}_X(S) \rangle \cap \sum_{i=1}^{p-1} \text{Hom}_{s-i}(S)$ .

**Proof.** Let  $i=p-1$  in (3.24). Then we have

$$(3.25) \quad \Phi_{s-p+1}(x) - \|x\|^2 B_{s-p-1}(x) \in U_{s-p+1}^{(\leq s-2p+2)}.$$

Let  $i=p-2$  in (3.24). Then we have

$$\Phi_{s-p+2}(x) - \|x\|^4 B_{s-p-2}(x) \in U_{s-p+2}^{(\leq s-2p+2)}.$$

Let  $i=p-3$  in (3.24). Then we have

$$\Phi_{s-p+3}(x) - \|x\|^6 B_{s-p-3}(x) - \delta_1 \|x\|^4 B_{s-p-1}(x) \in U_{s-p+3}^{(\leq s-2p+2)}.$$

Then (3.25) implies

$$\Phi_{s-p+3}(x) - \delta_1 \|x\|^2 \Phi_{s-p+1}(x) - \|x\|^6 B_{s-p-3}(x) \in U_{s-p+3}^{(\leq s-2p+2)}.$$

Thus induction on  $l$  shows that for any  $l$  with  $1 \leq l \leq p-1$  the following holds:

$$\Phi_{s-p+l}(x) + \sum_{j=1}^{\lfloor \frac{l-1}{2} \rfloor} d_{l,j} \|x\|^{2j} \Phi_{s-p+l-2j}(x) - \|x\|^{2l} B_{s-p-l}(x) \in U_{s-p+l}^{(\leq s-2p+2)},$$

with some constants  $d_{l,j}$ ,  $1 \leq j \leq \lfloor \frac{l-1}{2} \rfloor$  which depend only on  $\delta_1, \dots, \delta_p$ . Hence we have

$$\Phi_{s-i}(x) + \sum_{j=1}^{\lfloor \frac{p-i-1}{2} \rfloor} d_{p-i,j} \|x\|^{2j} \Phi_{s-i-2j}(x) \equiv 0 \pmod{U_{s-i}^{(\leq s-2p+i)}}$$

for  $i=1, \dots, p-1$ . Then we have

$$(3.26) \quad \Delta^l \left( \Phi_{s-i}(x) + \sum_{j=1}^{\lfloor \frac{p-i-1}{2} \rfloor} d_{p-i,j} \|x\|^{2j} \Phi_{s-i-2j}(x) \right) \equiv 0 \pmod{U_{s-i-2l}^{(\leq s-2p+i)}},$$

for any  $l$  with  $0 \leq l \leq p-i-1$ . On the other hand compute

$$\Phi_{s-i}(x) + \sum_{j=1}^{\lfloor \frac{p-i-1}{2} \rfloor} d_{p-i,j} \|x\|^{2j} \Phi_{s-i-2j}(x)$$

using the definition of  $\Phi_{s-i}(x)$ . Then we can show that  $\Phi_{s-i}(x) + \sum_{j=1}^{\lfloor \frac{p-i-1}{2} \rfloor} d_{p-i,j} \|x\|^{2j} \Phi_{s-i-2j}(x)$  has the following form:

$$\sum_{k=0}^{p-i-1} \sum_{u \in X} a_u h_{i,k}(\|u\|^2) \|x\|^{2k} \langle x, u \rangle^{s-i-2k},$$

where  $h_{i,k}(t)$  is a polynomial of degree  $k$  with the following form:

$$h_{i,k}(\|u\|^2) = (-2)^{s-i-2k} \binom{s-k}{i+k} \|u\|^{2k} + \text{terms with } \|u\|^{2j}, \ 0 \leq j \leq k-1. \quad (3.27)$$

In general the following holds:

$$\Delta(\|x\|^{2l} \langle x, u \rangle^k) = 2l(m+2l+2k-2)\|x\|^{2(l-1)} \langle x, u \rangle^k + k(k-1)\|u\|^2 \|x\|^{2l} \langle x, u \rangle^{k-2}.$$

Therefore, for any  $l$  with  $0 \leq l \leq p-i-1$  we have

$$\begin{aligned} \Delta^l \left( \Phi_{s-i}(x) + \sum_{j=1}^{\lfloor \frac{p-i-1}{2} \rfloor} d_{p-i,j} \|x\|^{2j} \Phi_{s-i-2j}(x) \right) \\ (3.28) \quad \equiv \sum_{u \in X} a_u G_{i,l}(\|u\|^2) \langle x, u \rangle^{s-i-2l} \pmod{U_{s-i-2l}^{\leq s-i-2l-2}}, \end{aligned}$$

where each  $G_{i,l}(t)$  is a polynomial in one variable  $t$  of degree  $l$  which is independent of  $a_u$ ,  $u \in X$ . Then, (3.26) and (3.28) imply Lemma 3.4. ■

Let  $W$  be a subspace in  $\sum_{i=1}^{p-1} \text{Hom}_{s-i}(S)$  defined by

$$W = \sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} G_{i,j}(\|x\|^2) \text{Harm}_{s-i-2j}(S),$$

where  $G_{i,j}(t)$ ,  $1 \leq i \leq p-1$ ,  $0 \leq j \leq p-i-1$ , are the polynomials of degree  $j$  given in (3.28).

We will show that the subspace  $W$  defined above satisfies the conditions in Lemma 3.2.

### Proof of Lemma 3.2.

Let  $g(x) \in \langle \mathcal{F}_X(S) \rangle \cap W$ . Then we may assume

$$(3.29) \quad g(x) \in \left( \sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} G_{i,j}(\|x\|^2) \text{Harm}_{s-i-2j}(\mathbb{R}^m) \right)$$

and

$$(3.30) \quad \sum_{u \in X} a_u F_u(x) = g(x) + f(x) \prod_{i=1}^p (\|x\|^2 - r_i^2)$$



for any  $x \in \mathbb{R}^m$  with some real numbers  $a_u$ ,  $u \in X$  and a polynomial  $f(x)$  whose leading term is of degree  $2(s-p)$ . Then we can use [Lemma 3.4](#) and we have

$$\sum_{u \in X} a_u G_{i,j}(\|u\|^2) \langle x, u \rangle^{s-i-2j} = \sum_{u \in X} a_u \left( \text{terms with } \|x\|^{2l} \langle x, u \rangle^{s-i-2j-2l}, l \geq 1 \right)$$

for any  $i$  and  $j$  with  $1 \leq i \leq p-1$ ,  $0 \leq j \leq p-i-1$ . Then, a similar argument as given in the proof of [Lemma 2.4](#) implies

$$\sum_{u \in X} a_u G_{i,j}(\|u\|^2) \varphi(u) = 0,$$

for any  $\varphi(x) \in \text{Harm}_{s-i-2j}(\mathbb{R}^m)$ . Hence by (3.29) we have

$$(3.31) \quad \sum_{u \in X} a_u g(u) = 0.$$

On the other hand, (3.30) implies  $g(u) = a_u F(u) = a_u (-1)^s \prod_{i=1}^s \alpha_i^2$ . Then (3.31) implies

$$(-1)^s \prod_{i=1}^s \alpha_i^2 \sum_{u \in X} a_u^2 = 0.$$

Since  $(-1)^s \prod_{i=1}^s \alpha_i^2$  is a nonzero real number and  $a_u^2 \geq 0$ ,  $u \in X$ , we have  $a_u = 0$  for any  $u \in X$ . This completes the proof of [Lemma 3.2](#), (i). [Lemma 3.2](#), (ii) is obvious because the following hold:

$$\sum_{i=1}^{p-1} \sum_{j=0}^{p-i-1} G_{i,j}(\|x\|^2) \text{Harm}_{s-i-2j}(\mathbb{R}^m) \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s+p-1-i}(\mathbb{R}^m)$$

and

$$\sum_{i=1}^{p-1} \sum_{j=0}^{p-1-i} \|x\|^{2(i+j)} \text{Harm}_{s-i-2j}(\mathbb{R}^m) \subset \bigoplus_{i=0}^{2p-1} \text{Hom}_{s+p-1-i}(\mathbb{R}^m). \quad \blacksquare$$

### Antipodal case (Proof of Theorem 1.1, (2))

A set  $X$  in  $\mathbb{R}^m$  is called antipodal if  $-x \in X$  holds for any  $x \in X$ . Let  $X$  be an antipodal  $s$ -distance set on  $p$ -concentric spheres in  $\mathbb{R}^m$ . Let  $Y$  be a set of all the representatives of antipodal pairs in  $X$ , i.e.,  $Y$  is a subset of  $X$  satisfying  $Y \cup (-Y) = X$ . Thus we have  $|X| = 2|Y|$ . For each  $u \in Y$  we define polynomials  $F_u^{(e)}$  and  $F_u^{(o)}$  in the following way:

$$\begin{aligned} F_u^{(e)} &= F_u + F_{-u}, \\ F_u^{(o)} &= F_u - F_{-u}, \end{aligned}$$

where  $F_u(x) = \prod_{i=1}^s (||x-u||^2 - \alpha_i^2)$  which is given in page 538. We use similar notations as before. The monomials  $x^\lambda$  which are contained in  $F_u^{(e)}$  are of even degree and the monomials  $x^\lambda$  which are contained in  $F_u^{(o)}$  are of odd degree. We define the following sets of polynomials.

$$\begin{aligned} \mathcal{F}_Y^{(e)} &= \{F_u^{(e)} \mid u \in Y\} \\ \mathcal{F}_Y^{(o)} &= \{F_u^{(o)} \mid u \in Y\} \\ \mathcal{F}_Y^{(e)}(S) &= \{F_u^{(e)}|_S \mid u \in Y\} \\ \mathcal{F}_Y^{(o)}(S) &= \{F_u^{(o)}|_S \mid u \in Y\}. \end{aligned}$$

Then we have

$$\begin{aligned} |Y| &= \dim \left( \langle \mathcal{F}_Y^{(e)}(S) \rangle \right) = \dim \left( \langle \mathcal{F}_Y^{(o)}(S) \rangle \right), \\ \langle \mathcal{F}_X(S) \rangle &= \langle \mathcal{F}_Y^{(e)}(S) \rangle \oplus \langle \mathcal{F}_Y^{(o)}(S) \rangle. \end{aligned}$$

By Lemma 2.3, we have

$$\begin{aligned} \langle \mathcal{F}_Y^{(e)}(S) \rangle &\subset \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 0 \pmod{2}}} \text{Hom}_{s-i}(S) + \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv 0 \pmod{2}}} ||x||^{2i} \text{Hom}_{s-i}(S), \\ \langle \mathcal{F}_Y^{(o)}(S) \rangle &\subset \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 1 \pmod{2}}} \text{Hom}_{s-i}(S) + \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv 0 \pmod{2}}} ||x||^{2i} \text{Hom}_{s-i}(S), \end{aligned}$$

and

$$\begin{aligned} &\bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv \varepsilon \pmod{2}}} \text{Hom}_{s-i}(S) + \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv \varepsilon \pmod{2}}} ||x||^{2i} \text{Hom}_{s-i}(S) \\ &= \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv \varepsilon \pmod{2}}} \text{Hom}_{s-i}(S) + \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv \varepsilon \pmod{2}}} \sum_{j=0}^{p-1-i} ||x||^{2(i+j)} \text{Harm}_{s-i-2j}(S) \end{aligned}$$

for  $\varepsilon = 0, 1$ .

In [Lemma 3.4](#), we obtained polynomials  $G_{i,j}(t)$ ,  $1 \leq i \leq p-1$ ,  $0 \leq j \leq p-i-1$  in one variable  $t$  of degree  $j$ . By definition, those polynomials depend only on  $\alpha_1, \dots, \alpha_s$ ,  $r_1, \dots, r_p$ . We define subspaces  $W^{(e)}$  and  $W^{(o)}$

in  $\sum_{i=1}^{p-1} \|x\|^{2i} \text{Hom}_{s-i}(S)$  by

$$W^{(e)} = \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv 0 \pmod{2}}}^{p-1} \sum_{j=0}^{p-i-1} G_{i,j}(\|x\|^2) \text{Harm}_{s-i-2j}(S),$$

$$W^{(o)} = \sum_{\substack{1 \leq i \leq p-1 \\ s-i \equiv 1 \pmod{2}}}^{p-1} \sum_{j=0}^{p-i-1} G_{i,j}(\|x\|^2) \text{Harm}_{s-i-2j}(S).$$

Then we have the following inequality:

$$\dim(\mathcal{F}_Y^{(e)}(S)) = \dim(\mathcal{F}_Y^{(o)}(S)) \leq \min \left\{ \dim \left( \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 0 \pmod{2}}} \text{Hom}_{s-i}(S) \right), \dim \left( \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 1 \pmod{2}}} \text{Hom}_{s-i}(S) \right) \right\}.$$

Then

$$\dim \left( \bigoplus_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv \varepsilon \pmod{2}}} \text{Hom}_{s-i}(S) \right) = \sum_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv \varepsilon \pmod{2}}} \binom{m+s-i-1}{s-i}$$

for  $\varepsilon = 0, 1$  and

$$\sum_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 0 \pmod{2}}} \binom{m+s-i-1}{s-i} = \begin{cases} \sum_{i=0}^{p-1} \binom{m+s-2i-1}{m-1} & \text{if } s \text{ is even,} \\ \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1} & \text{if } s \text{ is odd,} \end{cases}$$

$$\sum_{\substack{0 \leq i \leq 2p-1 \\ s-i \equiv 1 \pmod{2}}} \binom{m+s-i-1}{s-i} = \begin{cases} \sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1} & \text{if } s \text{ is even,} \\ \sum_{i=0}^{p-1} \binom{m+s-2i-1}{m-1} & \text{if } s \text{ is odd.} \end{cases}$$

Since  $\sum_{i=0}^{p-1} \binom{m+s-2i-2}{m-1} < \sum_{i=0}^{p-1} \binom{m+s-2i-1}{m-1}$  we have [Theorem 1.1](#), (2). ■

#### 4. Examples

We give two examples of 2-distance sets whose cardinalities attain the upper bounds given in [Theorem 1.1](#).

**Example 4.1.** (P. Lisoněk) Let  $\mathbf{e}_i$ ,  $1 \leq i \leq 9$  be the canonical orthonormal base of  $\mathbb{R}^9$ . Define subsets  $X_1, X_2 \subset \mathbb{R}^9$  by

$$X_1 = \left\{ -\mathbf{e}_i + \frac{1}{3} \sum_{k=1}^9 \mathbf{e}_k \mid 1 \leq i \leq 9 \right\}$$

$$X_2 = \{ \mathbf{e}_i + \mathbf{e}_j \mid 1 \leq i < j \leq 9 \}$$

Let  $X = X_1 \cup X_2$ . Then  $X$  is a 2-distance set whose cardinality is  $\binom{8+2}{2} = 45$ .

**Proof.** Let  $H \subset \mathbb{R}^9$  be the hyper plane defined by  $x_1 + x_2 + \cdots + x_9 = 2$ . Then  $X_1 \subset H \cap S_{\frac{2}{\sqrt{3}}}^8$  and  $X_2 \subset H \cap S_{\sqrt{2}}^8$ , where  $S_\rho^8 = \{x \in \mathbb{R}^9 \mid \|x\| = \rho\}$ , that is, a sphere in  $\mathbb{R}^9$  of radius  $\rho$ . It is easy to see that  $X$  is a 2-distance set on two concentric spheres in  $\mathbb{R}^8$  of radius  $\frac{2\sqrt{2}}{3}$  and  $\frac{\sqrt{14}}{3}$ . ■

**Example 4.2.** Let  $X = \{A = (1, 0), B = (-1, 0), C = (0, \sqrt{3}), D = (0, -\sqrt{3})\}$ . Then  $X$  is an antipodal 2-distance set on two concentric spheres in  $\mathbb{R}^2$  with cardinality 4.

**Proof.** It is obvious that the set  $X$  given in [Example 4.2](#) is an antipodal 2-distance set whose cardinality coincides with the bound given in [Theorem 1.1](#) (2). ■

Delsarte and Seidel ([\[4\]](#)) gave the definition of design for Euclidean spaces in the following manner. Let  $X$  be a finite set in  $\mathbb{R}^m$ . Assume  $0 \notin X$ . Let  $S_1, S_2, \dots, S_p$  in  $\mathbb{R}^m$  be the concentric spheres with centers at the origin satisfying the following conditions:

- (1)  $X \subset S_1 \cup S_2 \cup \cdots \cup S_p$ ,
- (2)  $X \cap S_i \neq \emptyset$  for  $1 \leq i \leq p$ .

Let  $X_i = X \cap S_i$  for  $1 \leq i \leq p$ . Let  $\omega$  be a weight function  $X \ni x \longrightarrow \omega(x) \in \mathbb{R}_{>0}$ . Let  $\omega(X_i) = \sum_{x \in X_i} \omega(x)$ . With these notation they gave the following definition.

**Definition 4.3.**  $X$  is a Euclidean  $t$ -design if the following condition is satisfied:

$$\sum_{i=1}^p \frac{\omega(X_i)}{|S_i|} \int_{\xi \in S_i} f(\xi) d\xi = \sum_{\eta \in X} f(\eta) \omega(\eta),$$

for any polynomial  $f(x) = f(x_1, x_2, \dots, x_m)$  of degree at most  $t$ , where  $|S_i|$  is the area(volume) of the sphere  $S_i$ .

For the Euclidean designs, Delsarte and Seidel gave the following lower bounds for the cardinalities.

**Theorem 4.4.** (see [4])

(1) Let  $X$  be a  $2s$ -design in  $\mathbb{R}^m$ , then the following holds:

$$|X| \geq \sum_{i=0}^{2p-1} \binom{m+s-i-1}{m-1}.$$

(2) Let  $X$  be a  $(2s-1)$ -design in  $\mathbb{R}^m$ . Assume that  $X$  is antipodal. Then the following holds:

$$|X| \geq 2 \sum_{i=0}^{p-1} \binom{m+s-2i-1}{m-1}.$$

**Definition 4.5.** If the cardinality of a  $t$ -design attains the lower bound given in Theorem 4.4, then we call it a tight design.

The set  $X$  given in Example 4.1 contains 45 points therefore it is not antipodal. If we consider the same configuration as given by  $X$  on two concentric spheres with center at the origin. Then we may assume  $X_1$  is on the sphere with center at the origin and radius  $\frac{2\sqrt{2}}{3}$  and  $X_2$  is on the sphere with center at the origin and radius  $\frac{\sqrt{14}}{3}$ . If we define a weight function  $\omega$  by

$$\omega(x) = \begin{cases} 5 & \text{if } x \in X_1, \\ 1 & \text{if } x \in X_2, \end{cases}$$

then we can check by easy calculations that Example 4.1 is a Euclidean 3-design on 2 concentric spheres in  $\mathbb{R}^8$ . However we can also check that there is no weight function which make Example 4.1 a Euclidean 4-design.

On the other hand Example 4.2 is an antipodal 2-distance set on 2-concentric spheres in  $\mathbb{R}^2$ . Define a weight function by  $\omega(A) = \omega(B) = 3$ ,  $\omega(C) = \omega(D) = 1$ . Then it is easy to check that Example 4.2 is an antipodal Euclidean tight 3-design.

**Theorem 4.6.** Let  $X$  be an antipodal 2-distance set on  $p$  concentric spheres in  $\mathbb{R}^m$ . Assume  $|X| = 2m$ . Then  $X$  is similar to one of the following:

(1)  $p=1$  and  $X = \{\pm \mathbf{e}_i \mid 1 \leq i \leq m\}$ .

(2)  $p=2$  and  $X$  is the set defined in Example 4.2.

(Note that any antipodal 2-distance set  $X$  on  $p$  concentric spheres in  $\mathbb{R}^m$  satisfies  $|X| \leq 2m$ .)

**Proof.** If  $p = 1$ , then an antipodal 2-distance set with cardinality  $2m$  is a spherical tight 3-design. It is well known that any spherical tight 3-design on  $S^{m-1}(\subset \mathbb{R}^m)$  is isometric to  $\{\pm \mathbf{e}_i \mid 1 \leq i \leq m\}$ . Next, assume that  $p \geq 2$ . We may assume that the smallest sphere among the  $p$  concentric spheres is  $S^{m-1}$ . We may also assume that  $\pm \mathbf{e}_1 \in X$ . Let  $\mathbf{a} \in X$ , and  $\|\mathbf{a}\| = r > 1$ . Then  $A(X)$  has to be  $\{2, 2r\}$ . This implies  $p = 2$ . Let  $\mathbf{a} = (a_1, \dots, a_m)$  and  $a_1 \geq 0$ . If  $a_1 > 0$ , then  $(1 - a_1)^2 + a_2^2 + \dots + a_m^2 = 4$  and  $(1 + a_1)^2 + a_2^2 + \dots + a_m^2 = 4r^2$ . This is impossible. Hence  $a_1 = 0$ . We may assume  $a_2 \geq 0$ . Then  $\|\mathbf{e}_1 - \mathbf{a}\| = \sqrt{1 + r^2}$ . Since  $r > 1$ , we have  $r = \sqrt{3}$ . We may assume  $\mathbf{a} = (0, \sqrt{3}, 0, \dots, 0)$ . Let  $\mathbf{b} = (b_1, \dots, b_m) \in X$  and  $\mathbf{b} \neq \pm \mathbf{a}, \pm \mathbf{e}_1$ . We may assume  $b_1 \geq 0$ . Then we can easily show that  $b_1 = 0$  and  $b_2 = 0$ . If  $m = 2$ , then  $X$  is the set defined in [Example 4.2](#). If  $m \geq 3$ , then we may assume  $\mathbf{b} = (0, 0, b_3, 0, \dots, 0)$ . This contradicts the assumption  $|A(X)| = 2$ . Hence  $X$  contains at most 4 points. This completes the proof. ■

The definition of a Euclidean design given by Delsarte and Seidel does not give a good theory between  $s$ -distance sets and designs. Is there better definition for a Euclidean design?

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